PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004 Annual Plan for Fiscal Year 2000

NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES

PHA Plan Agency Identification

5-YEAR PLAN PHA FISCAL YEARS 2000 - 2004

[24 CFR Part 903.5]

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<u>A. M</u>	<u>lission</u>
	e PHA's mission for serving the needs of low-income, very low income, and extremely low-income in the PHA's jurisdiction. (select one of the choices below)
	The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
	The PHA's mission is: (state mission here) The mission of the Housing Authority of the City of Dothan is to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination for eligible low-income, very low-income, and extremely low-income families residing or desiring to reside in the City of Dothan.
emphas identify PHAS A SUCCE (Quantita achieve	als and objectives listed below are derived from HUD's strategic Goals and Objectives and those ized in recent legislation. PHAs may select any of these goals and objectives as their own, or other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF ESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. fiable measures would include targets such as: numbers of families served or PHAS scores d.) PHAs should identify these measures in the spaces to the right of or below the stated objectives. Strategic Goal: Increase the availability of decent, safe, and affordable
housir	· · · · · · · · · · · · · · · · · · ·
	PHA Goal: Expand the supply of assisted housing Objectives: Apply for additional rental vouchers: 50 Section 8 vouchers Reduce public housing vacancies: Reduce and maintain vacancy rate less than or equal to 3%. Leverage private or other public funds to create additional housing opportunities: Acquire or build units or developments Other (list below) Improve and maintain Section 8 lease-up rate to 97%.
\boxtimes	PHA Goal: Improve the quality of assisted housing

	Object	ives:
		Improve public housing management: (PHAS score) Maintain PHAS overall score of 90%
		Improve voucher management: (SEMAP score) Maintain SEMAP overall score of 90%
		Increase customer satisfaction:
		Concentrate on efforts to improve specific management functions:
		(list; e.g., public housing finance; voucher unit inspections)
		Renovate or modernize public housing units: Obligate Capital Funds within 18 months of receipt. Begin aggressive goal to air condition all public housing units where feasible.
		Demolish or dispose of obsolete public housing:
	H	Provide replacement public housing:
	H	Provide replacement vouchers:
	H	Other: (list below)
		Other. (list below)
\boxtimes	PHA (Goal: Increase assisted housing choices
	Object	
		Provide voucher mobility counseling:
	\boxtimes	Conduct outreach efforts to potential voucher landlords. Hold annual
		workshop for potential and current landlords.
		Increase voucher payment standards
		Implement voucher homeownership program:
		Implement public housing or other homeownership programs:
		Implement public housing site-based waiting lists:
		Convert public housing to vouchers:
		Other: (list below)
HUD	Strateg	ic Goal: Improve community quality of life and economic vitality
\bowtie	PHA C	Goal: Provide an improved living environment
_	Object	1
		Implement measures to deconcentrate poverty by bringing higher income
	_	public housing households into lower income developments:
		Implement measures to promote income mixing in public housing by
		assuring access for lower income families into higher income
		developments:
	\boxtimes	Implement public housing security improvements: (1) Seek PHDEP
	<u> </u>	funding. (2) Enforce applicant screening measures. (3) Provide strict
		enforcement of lease provisions.
	\boxtimes	Designate developments or buildings for particular resident groups (elderly
		persons with disabilities) Apply for designation of Marvin Lewis Village.

		Other: (list below) (1) Implement measures to promote income mixing in public housing through deconcentration provisions of the Admissions and Continued Occupancy Policy. (2) Implement programs for 50 youth annually.
HUD S	_	ic Goal: Promote self-sufficiency and asset development of families and
	PHA C Objecti	Goal: Promote self-sufficiency and asset development of assisted households ives: Increase the number and percentage of employed persons in assisted families: Provide or attract supportive services to improve assistance recipients' employability: (1) Provide all new participants with information on available community services. (2) Coordinate and provide community space for annual workshops on available job skills and adult education programs. Provide or attract supportive services to increase independence for the elderly or families with disabilities. Other: (list below) Improve participation in Section 8 Family Self Sufficiency Program through additional outreach to families.
HUD S	Strategi	c Goal: Ensure Equal Opportunity in Housing for all Americans
	PHA C Objecti	Goal: Ensure equal opportunity and affirmatively further fair housing ives: Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability: Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: Other: (list below) Provide strict enforcement of equal opportunity and fair housing policies of the Housing Authority. Assist families in the completion and filing of any complaint concerning equal opportunity and fair housing.
Other	PHA G	Goals and Objectives: (list below)

Annual PHA Plan PHA Fiscal Year 2000

[24 CFR Part 903.7]

i. Annual Plan Type:					
Select which type of Annual Plan the PHA will submit.					
Standard Plan					
Streamlined Plan: High Performing PHA Small Agency (<250 Public Housing Units) Administering Section 8 Only					
Troubled Agency Plan					
ii. Executive Summary of the Annual PHA Plan [24 CFR Part 903.7 9 (r)]					
Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.					
In accordance with the Final Rule, this section is not required.					
iii. Annual Plan Table of Contents [24 CFR Part 903.7 9 (r)] Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.					
In accordance with the Final Rule, this section is not required. Table of Contents					
Page Annual Plan	#				
i. Executive Summary					
ii. Table of Contents					
1. Housing Needs					
2. Financial Resources					
3. Policies on Eligibility, Selection and Admissions					
4. Rent Determination Policies					
5. Operations and Management Policies					
6. Grievance Procedures					
7. Capital Improvement Needs					
8. Demolition and Disposition					
9. Designation of Housing10. Conversions of Public Housing					
11. Homeownership					

- 12. Community Service Programs
- 13. Crime and Safety
- 14. Pets (Inactive for January 1 PHAs)
- 15. Civil Rights Certifications (included with PHA Plan Certifications)
- 16. Audit
- 17. Asset Management
- 18. Other Information

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Requi	red Attachments:
	Admissions Policy for Deconcentration (AL007a01)
\boxtimes	FY 2000 Capital Fund Program Annual Statement
	Most recent board-approved operating budget (Required Attachment for PHAs
	that are troubled or at risk of being designated troubled ONLY)
Or	otional Attachments:
X	PHA Management Organizational Chart (AL007b01)
	FY 2000 Capital Fund Program 5 Year Action Plan
\boxtimes	Public Housing Drug Elimination Program (PHDEP) Plan (AL007c01)
	Comments of Resident Advisory Board or Boards (must be attached if not
	included in PHA Plan text)
	Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review					
Applicable &	Supporting Document	Applicable Plan Component			
On Display					
X	PHA Plan Certifications of Compliance with the PHA Plans	5 Year and Annual Plans			
	and Related Regulations				
	State/Local Government Certification of Consistency with	5 Year and Annual Plans			
X	the Consolidated Plan				

List of Supporting Documents Available for Review					
Applicable & On Display	Supporting Document	Applicable Plan Component			
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans			
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI))) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs			
	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;			
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies			
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies			
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 Quality Housing and Work Responsibility Act Initial Guidance; Notice and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies			
X	Public housing rent determination policies, including the methodology for setting public housing flat rents check here if included in the public housing A & O Policy	Annual Plan: Rent Determination			
X	Schedule of flat rents offered at each public housing development check here if included in the public housing A & O Policy	Annual Plan: Rent Determination			
X	Section 8 rent determination (payment standard) policies Check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination			

List of Supporting Documents Available for Review					
Applicable & On Display	Supporting Document	Applicable Plan Component			
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance			
X	Public housing grievance procedures check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures			
X	Section 8 informal review and hearing procedures check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures			
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs			
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs			
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs			
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs			
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition			
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing			
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing			
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership			
	Policies governing any Section 8 Homeownership program check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership			
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency			
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency			
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency			
X	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention			

List of Supporting Documents Available for Review					
Applicable	Supporting Document	Applicable Plan			
&		Component			
On Display					
X	The most recent fiscal year audit of the PHA conducted	Annual Plan: Annual Audit			
	under section 5(h)(2) of the U.S. Housing Act of 1937 (42				
	U. S.C. 1437c(h)), the results of that audit and the PHA's				
	response to any findings				
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs			
	Other supporting documents (optional)	(specify as needed)			
	(list individually; use as many lines as necessary)				

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction							
by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income <= 30% of AMI	3,065	N/A	N/A	N/A	N/A	N/A	N/A
Income >30% but <=50% of AMI	2,130	N/A	N/A	N/A	N/A	N/A	N/A
Income >50% but <80% of AMI	2,894	N/A	N/A	N/A	N/A	N/A	N/A
Elderly	3,177	N/A	N/A	N/A	N/A	N/A	N/A
Families with Disabilities	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity White	4,886	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity Black	3,138	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity Hispanic	324	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity Other	324	N/A	N/A	N/A	N/A	N/A	N/A

What sources of information did the PHA use to conduct this analysis? (Check all that

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. Complete one table for each type of PHA-wide waiting list administered by the PHA. PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List						
Waiting list type: (sele	ect one)					
Section 8 tenan	t-based assistance					
Public Housing						
Combined Sect	ion 8 and Public Housin	ng				
Public Housing	Site-Based or sub-juris	dictional waiting list (o	ptional)			
If used, identif	y which development/si	ubjurisdiction:				
	# of families % of total families Annual Turnover					
Waiting list total	Waiting list total 75 300					
Extremely low	Extremely low 41 55					
income <=30% AMI						

Housing Needs of Families on the Waiting List			
Very low income	22	29	
(>30% but <=50%			
AMI)			
Low income	12	16	
(>50% but <80%			
AMI)			
Families with	63	84	
children			
Elderly families	8	11	
Families with	4	5	
Disabilities			
Race/ethnicity White	16	21	
Race/ethnicity Black	59	79	
Race/ethnicity Other	0	0	
Race/ethnicity			
<u> </u>			
Characteristics by			
Bedroom Size			
(Public Housing			
Only)	20	27	55
1BR 2 BR	30	40	132
3 BR	18	24	79
4 BR	7	9	34
5 BR	0	0	0
5+ BR	0	0	0
	sed (select one)? \boxtimes No	<u> </u>	0
If yes:	sed (select one): 🖂 140	J L 1CS	
•	it been closed (# of mor	nths)?	
Does the PHA expect to reopen the list in the PHA Plan year? No Yes			
	permit specific categor	•	
generally close			
Н	lousing Needs of Fami	lies on the Waiting Li	st
Waiting list type: (sele	ect one)		
	t-based assistance		
Public Housing			
Combined Sect	ion 8 and Public Housin	ng	
	Site-Based or sub-juris		ptional)
If used, identify which development/subjurisdiction:			

Housing Needs of Families on the Waiting List			
	# of families	% of total families	Annual Turnover
Waiting list total	519		90
Extremely low income <=30% AMI	372	72	
Very low income (>30% but <=50% AMI)	118	23	
Low income (>50% but <80% AMI)	29	5	
Families with children	418	81	
Elderly families	14	3	
Families with Disabilities	54	10	
Race/ethnicity White	88	16	
Race/ethnicity Black	423	81	
Race/ethnicity Asian/Pacific Islander/Amer. Indian	5	2	
Race/ethnicity Hispanic	3	1	
Characteristics by Bedroom Size (Public Housing Only) 1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list close If yes:	,	_	
How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? No Yes Does the PHA permit specific categories of families onto the waiting list, even if			
generally closed? No Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by: Select all that apply

Sciect a	in that appry
	Employ effective maintenance and management policies to minimize the number
	of public housing units off-line
\boxtimes	Maintain or reduce turnover time for vacated public housing units
Ц	Reduce time to renovate public housing units
	Seek replacement of public housing units lost to the inventory through mixed finance development
	Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
	Maintain or increase section 8 lease-up rates by establishing payment standards
	that will enable families to rent throughout the jurisdiction
	Undertake measures to ensure access to affordable housing among families
_	assisted by the PHA, regardless of unit size required
	Maintain or increase section 8 lease-up rates by marketing the program to
	owners, particularly those outside of areas of minority and poverty
	concentration
	Maintain or increase section 8 lease-up rates by effectively screening Section 8
	applicants to increase owner acceptance of program
Ш	Participate in the Consolidated Plan development process to ensure
	coordination with broader community strategies Other (list below)
Ш	Other (list below)
Strate	gy 2: Increase the number of affordable housing units by:
	Il that apply
\boxtimes	Apply for additional section 8 units should they become available
	Leverage affordable housing resources in the community through the creation
	of mixed - finance housing
	Pursue housing resources other than public housing or Section 8 tenant-based
	assistance. Other: (list below)
Ш	Other. (list below)

Need: Specific Family Types: Families at or below 30% of median

Strate	gy 1: Target available assistance to families at or below 30 % of AMI
Select al	ll that apply
	Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
	Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
	Employ admissions preferences aimed at families with economic hardships Adopt rent policies to support and encourage work Other: (list below)
Need:	Specific Family Types: Families at or below 50% of median
	gy 1: Target available assistance to families at or below 50% of AMI
	Employ admissions preferences aimed at families who are working Adopt rent policies to support and encourage work Other: (list below)
	Specific Family Types: The Elderly gy 1: Target available assistance to the elderly:
Select al	ll that apply
	Seek designation of public housing for the elderly Apply for special-purpose vouchers targeted to the elderly, should they become available Other: (list below)
Need:	Specific Family Types: Families with Disabilities
	gy 1: Target available assistance to Families with Disabilities: Il that apply
	Seek designation of public housing for families with disabilities Carry out the modifications needed in public housing based on the section 504
	Needs Assessment for Public Housing Apply for special-purpose vouchers targeted to families with disabilities, should they become available
	Affirmatively market to local non-profit agencies that assist families with disabilities
П	Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:		
Select if applicable		
 ☐ Affirmatively market to races/ethnicities shown to have disproportionate housing needs ☐ Other: (list below) Strategy 2: Conduct activities to affirmatively further fair housing 		
Select all that apply		
 Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units Market the section 8 program to owners outside of areas of poverty /minority concentrations Other: (list below) 		
Other Housing Needs & Strategies: (list needs and strategies below) (2) Reasons for Selecting Strategies Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:		
Funding constraints Staffing constraints Limited availability of sites for assisted housing Extent to which particular housing needs are met by other organizations in the community Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA Influence of the housing market on PHA programs Community priorities regarding housing assistance Results of consultation with local or state government Results of consultation with residents and the Resident Advisory Board Results of consultation with advocacy groups Other: (list below)	•	

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Planned Sources and Uses Sources Planned \$ Planned Uses
1. Federal Grants (FY 2000 grants) a) Public Housing Operating Fund b) Public Housing Capital Fund c) HOPE VI Revitalization d) HOPE VI Demolition e) Annual Contributions for Section 8 Tenant-Based Assistance f) Public Housing Drug Elimination Program (including any Technical Assistance funds) g) Resident Opportunity and Self-Sufficiency Grants h) Community Development Block Grant i) HOME Other Federal Grants (list below)
a) Public Housing Operating Fund b) Public Housing Capital Fund 1,086,315 c) HOPE VI Revitalization N/A d) HOPE VI Demolition e) Annual Contributions for Section 8 Tenant-Based Assistance f) Public Housing Drug Elimination Program (including any Technical Assistance funds) g) Resident Opportunity and Self- Sufficiency Grants h) Community Development Block Grant i) HOME N/A Other Federal Grants (list below)
b) Public Housing Capital Fund c) HOPE VI Revitalization d) HOPE VI Demolition e) Annual Contributions for Section 8 Tenant-Based Assistance f) Public Housing Drug Elimination Program (including any Technical Assistance funds) g) Resident Opportunity and Self- Sufficiency Grants h) Community Development Block Grant i) HOME Other Federal Grants (list below)
c) HOPE VI Revitalization d) HOPE VI Demolition e) Annual Contributions for Section 8 Tenant-Based Assistance f) Public Housing Drug Elimination Program (including any Technical Assistance funds) g) Resident Opportunity and Self- Sufficiency Grants h) Community Development Block Grant i) HOME Other Federal Grants (list below)
d) HOPE VI Demolition e) Annual Contributions for Section 8 Tenant-Based Assistance f) Public Housing Drug Elimination Program (including any Technical Assistance funds) g) Resident Opportunity and Self- Sufficiency Grants h) Community Development Block Grant i) HOME Other Federal Grants (list below)
e) Annual Contributions for Section 8 Tenant-Based Assistance f) Public Housing Drug Elimination Program (including any Technical Assistance funds) g) Resident Opportunity and Self- Sufficiency Grants h) Community Development Block Grant i) HOME Other Federal Grants (list below)
8 Tenant-Based Assistance f) Public Housing Drug Elimination Program (including any Technical Assistance funds) g) Resident Opportunity and Self- Sufficiency Grants h) Community Development Block Grant i) HOME N/A Other Federal Grants (list below)
f) Public Housing Drug Elimination Program (including any Technical Assistance funds) g) Resident Opportunity and Self- Sufficiency Grants h) Community Development Block Grant i) HOME N/A Other Federal Grants (list below)
Program (including any Technical Assistance funds) g) Resident Opportunity and Self- Sufficiency Grants h) Community Development Block Grant i) HOME Other Federal Grants (list below)
Assistance funds) g) Resident Opportunity and Self- Sufficiency Grants h) Community Development Block Grant i) HOME N/A Other Federal Grants (list below)
g) Resident Opportunity and Self- Sufficiency Grants h) Community Development Block Grant i) HOME Other Federal Grants (list below)
Sufficiency Grants h) Community Development Block Grant i) HOME Other Federal Grants (list below)
h) Community Development Block Grant i) HOME Other Federal Grants (list below)
Grant i) HOME N/A Other Federal Grants (list below)
i) HOME N/A Other Federal Grants (list below)
Other Federal Grants (list below)
2. Prior Year Federal Grants (unobligated funds only) (list below)
3. Public Housing Dwelling Rental 465,108 Public Housing
Income Operations
4. Other income (list below)
Investment income, late fees, 100,990 Public Housing
maintenance charges Operations
The state of the s
4. Non-federal sources (list below)

	inancial Resources:	
Plan	ned Sources and Uses	
Sources	Planned \$	Planned Uses
Total resources	4,818,566	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. Wh	en does the PHA verify eligibility for admission to public housing? (select all that
app	oly)
	When families are within a certain number of being offered a unit: (state number)
	When families are within a certain time of being offered a unit: (state time) Other: (describe) Upon receipt of a completed application, the PHA begins the verification process immediately (3 business days).
adn	ich non-income (screening) factors does the PHA use to establish eligibility for nission to public housing (select all that apply)? Criminal or Drug-related activity
	Rental history
	Housekeeping
	Other (describe)
c. 🖂	Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
d. 🖂	Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
(2)Waiting List Organization
 a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply) Community-wide list Sub-jurisdictional lists Site-based waiting lists Other (describe)
 b. Where may interested persons apply for admission to public housing? PHA main administrative office – 602 S. Lena Street, Dothan, AL 36301 PHA development site management office Other (list below)
c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment
1. How many site-based waiting lists will the PHA operate in the coming year?
2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)? If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously If yes, how many lists?
 4. Where can interested persons obtain more information about and sign up to be or the site-based waiting lists (select all that apply)? PHA main administrative office All PHA development management offices Management offices at developments with site-based waiting lists At the development to which they would like to apply Other (list below)
(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one) One Two Three or More
b. Xes No: Is this policy consistent across all waiting list types?
c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:
(4) Admissions Preferences
 a. Income targeting: Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
b. Transfer policies: In what circumstances will transfers take precedence over new admissions? (list below) Emergencies Overhoused Underhoused Medical justification Administrative reasons determined by the PHA (e.g., to permit modernization work) Resident choice: (state circumstances below) Other: (list below)
c. Preferences 1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection (5) Occupancy)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)
Former Federal preferences: Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition) Victims of domestic violence

	Substandard housing Homelessness High rent burden (rent is > 50 percent of income)
Other 1	Preferences: (select below) Working families and those unable to work because of age or disability Veterans and veterans' families Residents who live and/or work in the jurisdiction Those enrolled currently in educational, training, or upward mobility programs Households that contribute to meeting income goals (broad range of incomes) Households that contribute to meeting income requirements (targeting) Those previously enrolled in educational, training, or upward mobility programs Victims of reprisals or hate crimes Other preference(s) (list below) Wait list skipping to meet federal income targeting requirements.
the spa priority throug	the PHA will employ admissions preferences, please prioritize by placing a "1" in acceptant represents your first priority, a "2" in the box representing your second by, and so on. If you give equal weight to one or more of these choices (either than absolute hierarchy or through a point system), place the same number next than the acceptance of the same number of the sam
2 Date	e and Time
Forme	r Federal preferences: Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition) Victims of domestic violence Substandard housing Homelessness High rent burden
Other 1	Preferences (select all that apply) Working families and those unable to work because of age or disability Veterans and veterans' families Residents who live and/or work in the jurisdiction Those enrolled currently in educational, training, or upward mobility programs Households that contribute to meeting income goals (broad range of incomes) Households that contribute to meeting income requirements (targeting) Those previously enrolled in educational, training, or upward mobility programs Victims of reprisals or hate crimes Other preference(s) (list below) Priority 1 – Wait list skipping to meet federal
	income targeting requirements.

4. Re	lationship of preferences to income targeting requirements:
	The PHA applies preferences within income tiers
	Not applicable: the pool of applicant families ensures that the PHA will meet
	income targeting requirements
<u>(5) Oc</u>	<u>ecupancy</u>
the	at reference materials can applicants and residents use to obtain information about rules of occupancy of public housing (select all that apply)
	The PHA-resident lease
	The PHA's Admissions and (Continued) Occupancy policy PHA briefing seminars or written materials
	Other source (list)
	w often must residents notify the PHA of changes in family composition?
(sel	lect all that apply)
	At an annual reexamination and lease renewal Any time family composition changes
	At family request for revision
	Other (list) If family income changes except for cost-of-living adjustments.
(6) De	econcentration and Income Mixing
(0) 2 0	
a. 🔀	Yes No: Did the PHA's analysis of its family (general occupancy)
	developments to determine concentrations of poverty indicate the
	need for measures to promote deconcentration of poverty or
	income mixing?
h 🖂	Yes No: Did the PHA adopt any changes to its admissions policies based
0.	on the results of the required analysis of the need to promote
	deconcentration of poverty or to assure income mixing?
c. If th	ne answer to b was yes, what changes were adopted? (select all that apply)
	Adoption of site-based waiting lists If solveted, list targeted developments below:
	If selected, list targeted developments below:

	Employing waiting list "skipp income mixing goals at target If selected, list targeted development." Henry Green Apts. Johnson Homes	ed developments	centration of poverty or Martin Homes
	Employing new admission pro If selected, list targeted develo	_	evelopments
	Other (list policies and develo	opments targeted below	v)
d. 🗌	Yes No: Did the PHA address results of the repoverty and in	equired analysis of the	er policies based on the e need for deconcentration of
e. If the app	he answer to d was yes, how w ly)	ould you describe thes	se changes? (select all that
	Additional affirmative market Actions to improve the market Adoption or adjustment of cel Adoption of rent incentives to income-mixing Other (list below)	etability of certain deve	levelopments
	ed on the results of the required special efforts to attract or retai Not applicable: results of ana List (any applicable) develope Henry Green Apts. Johnson Homes	n higher-income famil alysis did not indicate a	ies? (select all that apply)
_	sed on the results of the require special efforts to assure access Not applicable: results of ana List (any applicable) develop	for lower-income fami llysis did not indicate a	lies? (select all that apply)

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility a. What is the extent of screening conducted by the PHA? (select all that apply) Criminal or drug-related activity only to the extent required by law or regulation Criminal and drug-related activity, more extensively than required by law or regulation More general screening than criminal and drug-related activity (list factors below) Other (list below) b. X Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes? c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes? d. \boxtimes Yes \square No: Does the PHA access FBI criminal records from the FBI for ıll

screening purposes? (either directly or through an NCIC-authorized source)	
 e. Indicate what kinds of information you share with prospective landlords? (select that apply) Criminal or drug-related activity Other (describe below) 	: a
(2) Waiting List Organization	
a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply) None Federal public housing Federal moderate rehabilitation Federal project-based certificate program Other federal or local program (list below)	
b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply) PHA main administrative office – 602 S. Lena Street, Dothan, AL 36301 Other (list below)	

(3) Search Time		
a. X Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?		
 If yes, state circumstances below: a. Extenuating circumstances such as hospitalization or a family emergency for an extended period of time. b. The family has evidence that they have made a consistent effort to locate a unit and request support services from the PHA, throughout the initial 60 day period. c. The family has turned in a Request for Lease Approval prior to the expiration of the 60 day period, but the unit has not passed HQS. d. Disabled persons. 		
(4) Admissions Preferences		
a. Income targeting		
 Yes ⋈ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income? b. Preferences 1. ⋈ Yes ⋈ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application (if no, skip to subcomponent (5) Special purpose section 8 assistance programs) 		
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)		
Former Federal preferences Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition) Victims of domestic violence Substandard housing Homelessness High rent burden (rent is > 50 percent of income)		
Other preferences (select all that apply)		

 Working families and those unable to work because of age or disability Veterans and veterans' families Residents who live and/or work in your jurisdiction Those enrolled currently in educational, training, or upward mobility programs Households that contribute to meeting income goals (broad range of incomes) Households that contribute to meeting income requirements (targeting) Those previously enrolled in educational, training, or upward mobility program Victims of reprisals or hate crimes Other preference(s) (list below) Wait list skipping to meet federal income targeting requirements. 	S
3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.	
2 Date and Time	
Former Federal preferences Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition) Victims of domestic violence Substandard housing Homelessness High rent burden	
Other preferences (select all that apply) Working families and those unable to work because of age or disability Veterans and veterans' families Residents who live and/or work in your jurisdiction Those enrolled currently in educational, training, or upward mobility programs Households that contribute to meeting income goals (broad range of incomes) Households that contribute to meeting income requirements (targeting) Those previously enrolled in educational, training, or upward mobility programs Victims of reprisals or hate crimes Other preference(s) (list below) Priority 1 – Wait list skipping to meet federal income targeting requirements.	
4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)	

=	Date and time of application Drawing (lottery) or other random choice technique
juris	e PHA plans to employ preferences for "residents who live and/or work in the diction" (select one) This preference has previously been reviewed and approved by HUD The PHA requests approval for this preference through this PHA Plan
	tionship of preferences to income targeting requirements: (select one) The PHA applies preferences within income tiers Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements
(5) Sp	ecial Purpose Section 8 Assistance Programs
eligi admi	hich documents or other reference materials are the policies governing bility, selection, and admissions to any special-purpose section 8 program inistered by the PHA contained? (select all that apply) The Section 8 Administrative Plan Briefing sessions and written materials Other (list below)
prog	w does the PHA announce the availability of any special-purpose section 8 grams to the public? Through published notices Other (list below)
[24 CFR	A Rent Determination Policies Part 903.7 9 (d)]
	ons: PHAs that do not administer public housing are not required to complete sub-component
(1) Inc	come Based Rent Policies

appropriate spaces below.		
a. Use	e of discretionary policies: (select one)	
	The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))	
or	-	
	The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)	
b. Min	nimum Rent	
1. Wha	at amount best reflects the PHA's minimum rent? (select one) \$0 \$1-\$25 \$26-\$50	
2.	Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? Only those required by federal regulations.	
3. If y	es to question 2, list these policies below:	
c. Re	ents set at less than 30% than adjusted income	
1.	Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?	
•	ves to above, list the amounts or percentages charged and the circumstances der which these will be used below:	
	nich of the discretionary (optional) deductions and/or exclusions policies does the IA plan to employ (select all that apply) For the earned income of a previously unemployed household member For increases in earned income	

Describe the PHA's income based rent setting policy/ies for public housing using, including

discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the

	Fixed amount (other than general rent-setting policy) If yes, state amount/s and circumstances below:
	Fixed percentage (other than general rent-setting policy) If yes, state percentage/s and circumstances below:
	For household heads For other family members For transportation expenses For the non-reimbursed medical expenses of non-disabled or non-elderly families Other (describe below)
e. (Ceiling rents
1.	Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
	Yes for all developments Yes but only for some developments No
2.	For which kinds of developments are ceiling rents in place? (select all that apply)
	For all developments For all general occupancy developments (not elderly or disabled or elderly only) For specified general occupancy developments For certain parts of developments; e.g., the high-rise portion For certain size units; e.g., larger bedroom sizes Other (list below)
3.	Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)
	Market comparability study Fair market rents (FMR) 95 th percentile rents 75 percent of operating costs 100 percent of operating costs for general occupancy (family) developments Operating costs plus debt service The "rental value" of the unit

Other (list below)
f. Rent re-determinations:
 Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply) Never At family option Any time the family experiences an income increase except for cost-of-living adjustments. Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) Other (list below)
g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?
(2) Flat Rents
 In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.) The section 8 rent reasonableness study of comparable housing Survey of rents listed in local newspaper Survey of similar unassisted units in the neighborhood Other (list/describe below)
B. Section 8 Tenant-Based Assistance
Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).
(1) Danier and Characteristic
(1) Payment Standards Describe the voucher payment standards and policies.

	at is the PHA's payment standard? (select the category that best describes your
standaı	,
	At or above 90% but below100% of FMR
	100% of FMR
	Above 100% but at or below 110% of FMR
	Above 110% of FMR (if HUD approved; describe circumstances below)
1. TC 41.	TMD
	ne payment standard is lower than FMR, why has the PHA selected this
Stan	dard? (select all that apply) FMRs are adequate to ensure success among assisted families in the PHA's
	segment of the FMR area
\boxtimes	The PHA has chosen to serve additional families by lowering the payment
	standard
	Reflects market or submarket
H	Other (list below)
c. If th	ne payment standard is higher than FMR, why has the PHA chosen this level?
	ect all that apply)
	FMRs are not adequate to ensure success among assisted families in the PHA's
	segment of the FMR area
	Reflects market or submarket
	To increase housing options for families
	Other (list below)
d. Ho	w often are payment standards reevaluated for adequacy? (select one)
\bowtie	Annually
	Other (list below)
o Wh	et feeters will the DHA consider in its assessment of the adequacy of its neverant
	at factors will the PHA consider in its assessment of the adequacy of its payment dard? (select all that apply)
Stan	Success rates of assisted families
\forall	Rent burdens of assisted families
	Other (list below)
	If the PHA determines that vouchers are not being leased to properly utilize
	funding.
(2) Mi	nimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

	\$0 \$1-\$25 \$26-\$50
b. 🗌	Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)
5. On	perations and Management
	R Part 903.7 9 (e)]
_	
	tions from Component 5: High performing and small PHAs are not required to complete this . Section 8 only PHAs must complete parts A, B, and C(2)
A. PF	HA Management Structure
	be the PHA's management structure and organization.
(select	
\boxtimes	An organization chart showing the PHA's management structure and
	organization is attached.
	A brief description of the management structure and organization of the PHA follows:
B. HU	JD Programs Under PHA Management
	st Federal programs administered by the PHA, number of families served at the beginning of the
	coming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does no

operate any of the programs listed below.)

Program Name	Units or Families Served at Year	Expected Turnover
	Beginning	
Public Housing	670	300
Section 8 Vouchers	429	80
Section 8 Certificates	61	10
Section 8 Mod Rehab	N/A	
Special Purpose Section	N/A	
8 Certificates/Vouchers		
(list individually)		
Public Housing Drug	670	
Elimination Program		
(PHDEP)		
Capital Fund (CGP)	670	

Other Federal	N/A	
Programs(list		
individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

a. Admissions and Continued Occupancy Policy

b. Collection Policy

c. Maintenance Operations Policy

d. Trespass Policy

e. Community Space Policyf. Grievance Procedures

The following policies are applicable to all programs administered by the PHA:

g. Procurement Policy

h. Capitalization and Disposition Policy

i. Personnel Policyj. Organization Policy

k. Drug Free Policy

1. Safety Policy

m. Infectious Disease Policy

- (2) Section 8 Management: (list below)
 - a. Section 8 Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

 Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?
If yes, list additions to federal requirements below:
 2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply) PHA main administrative office PHA development management offices Other (list below)
B. Section 8 Tenant-Based Assistance 1. ☐ Yes ☑ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?
If yes, list additions to federal requirements below:
 2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply) PHA main administrative office Other (list below)
7. Capital Improvement Needs [24 CFR Part 903.7 9 (g)]
Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.
A. Capital Fund Activities Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program
may skip to component 7B. All other PHAs must complete 7A as instructed.

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual

(1) Capital Fund Program Annual Statement

Select one:
 ☐ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)
 -or ☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's

option, by completing and attaching a properly updated HUD-52837.

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number AL09P007709 FFY of Grant Approval: (10/1999)

Original Annual Statement

Line No.		Summary by Development Account	Total Estimated Cost
1	Total I	Non-CGP Funds	0
2	1406	Operations	0
3	1408	Management Improvements	95,000
4	1410	Administration	60,640
5	1411	Audit	0
6	1415	Liquidated Damages	0
7	1430	Fees and Costs	47,287
8	1440	Site Acquisition	0
9	1450	Site Improvement	0

10	1460 Dwelling Structures	801,000
11	1465.1 Dwelling Equipment-Nonexpendable	0
12	1470 Nondwelling Structures	0
13	1475 Nondwelling Equipment	0
14	1485 Demolition	0
15	1490 Replacement Reserve	0
16	1492 Moving to Work Demonstration	0
17	1495.1 Relocation Costs	0
18	1498 Mod Used for Development	0
19	1502 Contingency	0
20	Amount of Annual Grant (Sum of lines 2-19)	1,003,927
21	Amount of line 20 Related to LBP Activities	0
22	Amount of line 20 Related to Section 504 Compliance	0
23	Amount of line 20 Related to Security	35,000
24	Amount of line 20 Related to Energy Conservation	0
	Measures	

Annual Statement Capital Fund Program (CFP) Part II: Supporting Table

Development	General Description of Major Work	Development	Total
Number/Name	Categories	Account	Estimated
HA-Wide Activities		Number	Cost
AL0077001	Heat Pumps – 36 units	1460	180,000
Henry Green Apts.			
AL007002	Heat Pumps – 31 units	1460	155,000
McRae Homes			
AL007003	Heat Pumps – 52 units	1460	260,000
Martin Homes			
AL007004	Heat Pumps – 31 units	1460	155,000
Johnson Homes	Screen Doors – 35 units	1460	21,000
AL007004	Heat Pumps – 6 units	1460	30,000
Ussery Homes	_		
HA-Wide	A&E Design	1430	47,287
	Security	1408	35,000
	Resident Programs	1408	30,000
	Youth Programs	1408	30,000
	Administration	1410	60,640



Annual Statement Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
AL007001 AL007002 AL007003 AL007004 HA-Wide	3-31-02 3-31-02 3-31-02 3-31-02 3-31-02	9-30-03 9-30-03 9-30-03 9-30-03

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Xes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)
 b. If yes to question a, select one: The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name -or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

	Optional 5-Year Action	Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vac	ancies elopment	
AL007001	Henry Green Apartments	4	4.04		
Description of Need Improvements	ded Physical Improvements or M	lanagement	·	Estimated Cost	Planned Start Date (HA Fiscal Year) *
Heat pumps – 63 um Screen doors – 99 um				315,000 59,400	2001 2001
Dryer vents/outlets	– 99 units			27,225	2001
Bath surrounds/min	xing valves – 99 units 55 units			198,000 52,250	2003 2004
A&E design			38,927	2001	
•	ded and planned to begin. Some will take multiple years to compl	_	in the		
Total estimated cos	st over next 5 years			690,802	

	Optional 5-Year Action	on Plan Tables			
Development	Development Name	Number	% Vac	ancies	
Number	(or indicate PHA wide)	Vacant	in Deve	elopment	
		Units			
AL007002	McRae Homes	4	4.35		
Description of Need	led Physical Improvements or	Management		Estimated	Planned Start Date
Improvements				Cost	(HA Fiscal Year) *
Heat pumps – 61 u	nits			305,000	2001
Door units – 92 uni	ts			92,000	2002
Screen doors – 92 t	ınits			55,200	2002
Interior painting –	51 units			48,450	2004
A&E design				30,039	2001
•	led and planned to begin. Som will take multiple years to com	_	in the		
Total estimated cost over next 5 years 530,689					

	Optional 5-Year Action	on Plan Tables			
Development	Development Name	Number	% Vac	ancies	
Number	(or indicate PHA wide)	Vacant	in Deve	elopment	
		Units			
AL007003	Martin Homes	2	1.35		
Description of Need	 led Physical Improvements or 1	 		Estimated	Planned Start Date
Improvements	ica i nysicai improvements or	···unagement		Cost	(HA Fiscal Year) *
Heat pumps – 96 ur	nits			480,000	2001
Interior painting – 81 units				76,950	2004
A&E design				33,417	2001
* Denotes year funded and planned to begin. Some items will begin in the year indicated but will take multiple years to complete.					
Total estimated cos	t over next 5 years			590,367	

	Optional 5-Year Actio	n Plan Tables			
Development	Development Name	Number	% Vac	ancies	
Number	(or indicate PHA wide)	Vacant	in Deve	elopment	
		Units			
AL007004	Johnson Homes	4	1.87		
				T	
Description of Need	led Physical Improvements or I	Management		Estimated	Planned Start Date
Improvements				Cost	(HA Fiscal Year) *
Heat pumps – 135 t	ınits			675,000	2001
Interior painting –	116 units			110,200	2004
A&E design				47,112	2001
* Denotes year fund	led and planned to begin. Som	e items will begin	n in the		
year indicated but	will take multiple years to com	plete.			
Total estimated cos	t over next 5 years			832,312	

	Optional 5-Year Action	on Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vaca	ancies elopment	
AL007004	Ussery Homes	2	2.33		
Description of No	eeded Physical Improvements or	Management		Estimated	Planned Start Date
Improvements				Cost	(HA Fiscal Year) *
Heat pumps – 50	units			250,000	2001
Screen doors – 80	5 units			51,600	2003
Dryer vents/outle	ets – 86 units			23,650	2003
Sanitary sewer li	nes – 20 units			105,000	2003
Exterior meter b	ases – 24 bldgs.			67,200	2004
Interior painting	– 47 units			44,650	2004
A&E design			32,526	2001	
-	unded and planned to begin. Som It will take multiple years to com	_	in the		
Total estimated o	ost over next 5 years			574,626	

	Optional 5-Year Actio	on Plan Tables			
Development	Development Name	Number	% Vacancies		
Number	(or indicate PHA wide)	Vacant	in Deve	elopment	
		Units			
AL007007	Marvin Lewis Village	0	0		
Description of Nee	ded Physical Improvements or	Management		Estimated	Planned Start Date
Improvements			Cost	(HA Fiscal Year)	
Interior painting – 16 units			15,200	2004	
Roofs – 10 bldgs.			28,000	2004	
A&E design			2,592	2004	
Total estimated cost over next 5 years			45,792		

Optional 5-Year Action Plan Tables					
Development	Development Name	Number	% Vac	ancies	
Number	(or indicate PHA wide)	Vacant Units	in Deve	elopment	
HA Wide					
Description of Need	Description of Needed Physical Improvements or Management				Planned Start Date
Improvements		_		Cost	(HA Fiscal Year) *
Security			175,000	2001	
Resident programs			150,000	2001	
Youth programs				150,000	2001
Administration			276,120	2001	
* Denotes year funded and planned to begin. Each activity listed will begin					
in 2001 and continue into year 2004.					
Total estimated cost over next 5 years			751,120		

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

∐ Yes ⊠ N	 a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary) b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)
	1. Development name:
	2. Development (project) number:
	3. Status of grant: (select the statement that best describes the current
	status)
	Revitalization Plan under development
	Revitalization Plan submitted, pending approval
	Revitalization Plan approved
	Activities pursuant to an approved Revitalization Plan underway
☐ Yes ⊠ N	o: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
	If yes, list development name/s below:
☐ Yes ⊠ N	
	activities for public housing in the Plan year? If yes, list developments or activities below:
☐ Yes ⊠ N	o: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:
	1

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ⊠ No:	Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)
2. Activity Description	n
Yes No:	Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)
	Demolition/Disposition Activity Description
1a. Development nam	
1b. Development (pro	
2. Activity type: Den Dispos	
3. Application status	
Approved	
	nding approval
Planned applie	· · · · · · · · · · · · · · · · · · ·
	oproved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units af	
6. Coverage of action	n (select one)
Part of the develo	
Total developmen	-
7. Timeline for activi	
a. Actual or p	rojected start date of activity:
b. Projected e	nd date of activity:
9. Designation of	Public Housing for Occupancy by Elderly Families
	th Disabilities or Elderly Families and Families with
Disabilities	th Disabilities of Electry Families and Families with
[24 CFR Part 903.7 9 (i)]	
	onent 9; Section 8 only PHAs are not required to complete this section.
1. ⊠ Yes □ No:	Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families

or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

1. ☐ Yes ⊠ No	Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)		
2. Activity Descrip ☐ Yes ☐ No:	Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.		
C	onversion of Public Housing Activity Description		
1a. Development n 1b. Development (ame: project) number:		
2. What is the status of the required assessment? Assessment underway Assessment results submitted to HUD Assessment results approved by HUD (if marked, proceed to next question) Other (explain below)			
3. Yes No:	: Is a Conversion Plan required? (If yes, go to block 4; if no, go to		
4. Status of Converstatus) Conver Conver Conver Activit	ersion Plan (select the statement that best describes the current rsion Plan in development rsion Plan submitted to HUD on: (DD/MM/YYYY) rsion Plan approved by HUD on: (DD/MM/YYYY) ies pursuant to HUD-approved Conversion Plan underway		
than conversion (se Units a Units a Units a Require Require	now requirements of Section 202 are being satisfied by means other elect one) ddressed in a pending or approved demolition application (date submitted or approved: ddressed in a pending or approved HOPE VI demolition application (date submitted or approved:) ddressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) ements no longer applicable: vacancy rates are less than 10 percent ements no longer applicable: site now has less than 300 units (describe below)		

B. Reserved for Con 1937	nversions pursuant to Section 22 of the U.S. Housing Act of
C. Reserved for Co. 1937	nversions pursuant to Section 33 of the U.S. Housing Act of
	thip Programs Administered by the PHA
[24 CFR Part 903.7 9 (k)	J
A. Public Housing	
	onent 11A: Section 8 only PHAs are not required to complete 11A.
1. \square Yes \boxtimes No:	Does the PHA administer any homeownership programs
	administered by the PHA under an approved section 5(h)
	homeownership program (42 U.S.C. 1437c(h)), or an approved
	HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied
	or plan to apply to administer any homeownership programs
	under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If "No", skip
	to component 11B; if "yes", complete one activity description
	for each applicable program/plan, unless eligible to complete a
	streamlined submission due to small PHA or high performing
	PHA status. PHAs completing streamlined submissions may
	skip to component 11B.)
	•
2. Activity Description	
☐ Yes ☐ No:	Has the PHA provided all required activity description
	information for this component in the optional Public Housing
	Asset Management Table? (If "yes", skip to component 12. If
	"No", complete the Activity Description table below.)
Pub	lic Housing Homeownership Activity Description
	(Complete one for each development affected)
1a. Development nam	
1b. Development (pro	oject) number:

2. Federal Program authority:		
HOPE I		
$\bigsqcup_{}$ 5(h)		
☐ Turnkey		
	2 of the USHA of 1937 (effective 10/1/99)	
3. Application status:	l; included in the PHA's Homeownership Plan/Program	
	d, pending approval	
	application	
	hip Plan/Program approved, submitted, or planned for submission:	
(DD/MM/YYYY)		
5. Number of units a	affected:	
6. Coverage of action	on: (select one)	
Part of the develo	1	
Total developme	nt	
D C 4 0 D		
B. Section 8 Tena	ant Based Assistance	
1. ☐ Yes ☒ No:	Does the DUA plan to administer a Section 9 Homogymership	
1. ☐ 1es ☐ No.	Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as	
	implemented by 24 CFR part 982? (If "No", skip to component	
	12; if "yes", describe each program using the table below (copy	
	and complete questions for each program identified), unless the	
	PHA is eligible to complete a streamlined submission due to high	
	performer status. High performing PHAs may skip to	
	component 12.)	
2. Program Description:		
a Circ of Ducaman		
a. Size of Program Yes No:	Will the PHA limit the number of families participating in the	
1es 1vo.	section 8 homeownership option?	
	section o nomeownership option:	
If the answer to the question above was yes, which statement best describes the		
number of participants? (select one)		
25 or fewer participants		
26 - 50 participants		
51 to 100 participants		
more than 100 participants		
h DUA actablished aligibility opitoria		
b. PHA-established eligibility criteria		

☐ Yes ☐	No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:
[24 CFR Part Exemptions fr	Community Service and Self-sufficiency Programs 903.7 9 (1)] rom Component 12: High performing and small PHAs are not required to complete this Section 8-Only PHAs are not required to complete sub-component C.
A. PHA C	oordination with the Welfare (TANF) Agency
	tive agreements: No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?
	If yes, what was the date that agreement was signed? 09/14/1999
apply) Clie Info othe Coo prog Join Part Join s. c.	nt referrals rmation sharing regarding mutual clients (for rent determinations and arwise) rdinate the provision of specific social and self-sufficiency services and grams to eligible families tly administer programs ner to administer a HUD Welfare-to-Work voucher program t administration of other demonstration program er (describe) The TANF agency will work with the PHA to develop appropriate PREP placements to give useful work experience to JOBS participants. A PHA representative will be invited to participate on the county JOBS Task Force. The PHA agrees to act as a host agency for JOBS participants when feasible and to extend preference in filling jobs to qualified JOBS participants whenever possible. The PHA agrees not to reduce rents of any residents who fail to comply with the TANF agency's requirements for work activities or commit fraud in

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies					
Which, if any of the following discretionary policies will the PHA employ to					
enhance the economic and social self-sufficiency of assisted families in the					
following areas? (select all that apply)					
Public housing rent determination policies					
Public housing admissions policies					
Section 8 admissions policies					
Preference in admission to section 8 for certain public housing families					
Preferences for families working or engaging in training or education					
programs for non-housing programs operated or coordinated by the					
PHA					
Preference/eligibility for public housing homeownership option					
participation					
Preference/eligibility for section 8 homeownership option participation					
Other policies (list below)					
b. Economic and Social self-sufficiency programs					
Yes No: Does the PHA coordinate, promote or provide any					
programs to enhance the economic and social self-					
sufficiency of residents? (If "yes", complete the following					
table; if "no" skip to sub-component 2, Family Self					
Sufficiency Programs. The position of the table may be					
altered to facilitate its use.)					

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or
		selection/specific criteria/other)	outer provider mane)	both)
Adult Basic Education	110	Waiting List	Family Services Ctr.	Both
Career Center	120	Waiting List	Family Services Ctr.	Both
Daycare	60	Waiting List	Family Services Ctr.	Both
EvenStart	80	Waiting List	McRae Homes, Martin Homes, Johnson Homes, Ussery Homes	Public Housing
Job Fairs- 2 annually	N/A	N/A	Family Services Ctr.	Both
Youth Activities-Sports, Girl Scouts, Tutorial, Cultural Arts	50	Specific Criteria	Various Housing Developments	Public Housing

				,
(2) Family Self Sufficiency	program/s			
a. Participation Description				
Fan	aily Self Suffic	ciency (FSS) Partici	pation	
Program	-	imber of Participants		
	`	FY 2000 Estimate)	(As of: 09/16)	/99)
Public Housing	N/A		N/A	
Section 8	27		2	
Section 6	21			
b. Yes No: If the I	PHA is not n	naintaining the mi	nimum program size	
require	ed by HUD,	does the most rec	ent FSS Action Plan	address
the ste	ps the PHA	plans to take to ac	chieve at least the min	imum
progra	m size?			
If no, l	ist steps the	PHA will take be	low:	
The Pl	HA plans to	contract with an o	outside agency experie	enced
in soci	al and job tra	aining skills durin	g FY 2000.	
C. Welfare Benefit Reduct	ions			
1. The PHA is complying wi		•		
Housing Act of 1937 (rela	•		0	om
welfare program requirements) by: (select all that apply)				
Adopting appropriate changes to the PHA's public housing rent determination			ation	
policies and train staff to carry out those policies				
Informing residents of new policy on admission and reexamination				
 Informing residents of new policy on admission and reexamination Actively notifying residents of new policy at times in addition to admission and reexamination. Establishing or pursuing a cooperative agreement with all appropriate TANF 			on and	
reexamination.	reexamination.			
agencies regarding the exchange of information and coordination of services				
Establishing a protocol for exchange of information with all appropriate TANI		IANF		
agencies				
Other: (list below)				

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to subcomponent D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents			
(se	lect all that apply)		
	High incidence of violent and/or drug-related crime in some or all of the PHA's		
	developments		
	High incidence of violent and/or drug-related crime in the areas surrounding or		
	adjacent to the PHA's developments		
\boxtimes	Residents fearful for their safety and/or the safety of their children		
\boxtimes	Observed lower-level crime, vandalism and/or graffiti		
	People on waiting list unwilling to move into one or more developments due to		
	perceived and/or actual levels of violent and/or drug-related crime		
	Other (describe below)		
2. W	hat information or data did the PHA used to determine the need for PHA actions		
to	improve safety of residents (select all that apply).		
	Safety and security survey of residents		
\boxtimes	Analysis of crime statistics over time for crimes committed "in and around"		
	public housing authority		
	Analysis of cost trends over time for repair of vandalism and removal of graffiti		
$\overline{\boxtimes}$	Resident reports		
П	PHA employee reports		
	Police reports		
同	Demonstrable, quantifiable success with previous or ongoing anticrime/anti		
_	drug programs		
	Other (describe below)		
_			
3. W	hich developments are most affected? (list below)		
	McRae Homes, Martin Homes, Johnson Homes		
	However, all public housing developments have had some problems at one time		
	or another.		

undertake in the next PHA fiscal year 1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply) Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities Crime Prevention Through Environmental Design Activities targeted to at-risk youth, adults, or seniors Volunteer Resident Patrol/Block Watchers Program Other (describe below) 2. Which developments are most affected? (list below) McRae Homes, Martin Homes, Johnson Homes C. Coordination between PHA and the police 1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply) \boxtimes Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan Police provide crime data to housing authority staff for analysis and action Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence) Police regularly testify in and otherwise support eviction cases Police regularly meet with the PHA management and residents Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services Other activities (list below) 2. Which developments are most affected? (list below) D. Additional information as required by PHDEP/PHDEP Plan PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan? Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?

B. Crime and Drug Prevention activities the PHA has undertaken or plans to

Yes No: This PHDEP Plan is an Attachment. (Attachment Filename:AL007c01)		
14. RESERVED FOR PET POLICY		
[24 CFR Part 903.7 9 (n)]		
15. Civil Rights Certifications [24 CFR Part 903.7 9 (o)]		
Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.		
16. Fiscal Audit [24 CFR Part 903.7 9 (p)]		
1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U S.C. 1437c(h))? (If no, skip to component 17.)		
2. Yes No: Was the most recent fiscal audit submitted to HUD?		
 3. Yes No: Were there any findings as the result of that audit? 4. Yes No: If there were any findings, do any remain unresolved? 		
If yes, how many unresolved findings remain?		
5. Yes No: Have responses to any unresolved findings been submitted to		
HUD? If not, when are they due (state below)?		
17. PHA Asset Management [24 CFR Part 903.7 9 (q)]		
Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.		
1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan?		
2. What types of asset management activities will the PHA undertake? (select all that apply)		
Not applicable		

	based accounting re stock assessment			
	as the PHA included descriptions of asset management activities in the optional Public Housing Asset Management Table?			
18. Other Inform [24 CFR Part 903.7 9 (r)]				
A. Resident Advisor	ry Board Recommendations			
1. Yes No: D	id the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?			
	nts are: (if comments were received, the PHA MUST select one) ttachment (File name) w:			
 3. In what manner did the PHA address those comments? (select all that apply) Considered comments, but determined that no changes to the PHA Plan were necessary. The PHA changed portions of the PHA Plan in response to comments List changes below: 				
Other: (list below)				
B. Description of El	ection process for Residents on the PHA Board			
1. ☐ Yes ⊠ No:	Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)			
2. Yes No:	Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to subcomponent C.)			
3. Description of Res	ident Election Process			
	didates for place on the ballot: (select all that apply) are nominated by resident and assisted family organizations			

	c. PHDEP funding. Other: (list below)
For each necessary. 1. Co. 2. The	h applicable Consolidated Plan, make the following statement (copy questions as many times as arry). Insolidated Plan jurisdiction: City of Dothan, Alabama PHA has taken the following steps to ensure consistency of this PHA Plan with Consolidated Plan for the jurisdiction: (select all that apply) The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s. The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan. The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan. Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below) a. Additional housing vouchers. b. Comprehensive grant funding.
c. Elig	gible voters: (select all that apply) All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance) Representatives of all PHA resident and assisted family organizations Other (list)
b. Eli	gible candidates: (select one) Any recipient of PHA assistance Any head of household receiving PHA assistance Any adult recipient of PHA assistance Any adult member of a resident or assisted family organization Other (list)
	Candidates could be nominated by any adult recipient of PHA assistance Self-nomination: Candidates registered with the PHA and requested a place on ballot Other: (describe)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: Agreement to execute Certification of Consistency for PHA Plan and other Certification of Consistencys as needed to support application for additional housing assistance which may come available.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Definition of Substantial Deviation from the 5-Year Plan:

- a. Any change to the Mission Statement.
- b. Changes to the Stated Goals which are not a result of decreased HUD funding.
- c. Changes required by HUD for approval of the 5-Year Plan will not be considered a substantial deviation.

Definition of Significant Amendment or Modification:

- a. Any change to the following sections of the Annual Plan:
 - 1. Capital Improvement Needs (deletions or additions to 5-Year Plan)
 - 2. Demolition and Disposition
 - 3. Designation of Public Housing
 - 4. Conversions of Public Housing
 - 5. Homeownership
- b. Any decrease in Financial Resources significantly affecting resident services.
- c. Any deletion of a stated Objective in the 5-Year Plan.
- d. Amendments or modifications required due to HUD's failure to issue timely publication of Final Rules or to facilitate HUD approval of the Annual Plan will not be considered a significant amendment or modification.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number	FFY of Grant Approval: (MM/YYYY)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost		
1	Total Non-CGP Funds			
2	1406 Operations			
3	1408 Management Improvements			
4	1410 Administration			
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs			
8	1440 Site Acquisition			
9	1450 Site Improvement			
10	1460 Dwelling Structures			
11	1465.1 Dwelling Equipment-Nonexpendable			
12	1470 Nondwelling Structures			
13	1475 Nondwelling Equipment			
14	1485 Demolition			
15	1490 Replacement Reserve			
16	1492 Moving to Work Demonstration			
17	1495.1 Relocation Costs			
18	1498 Mod Used for Development			
19	1502 Contingency			
20	Amount of Annual Grant (Sum of lines 2-19)			
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 20 Related to Section 504 Compliance			
23	Amount of line 20 Related to Security			
24	Amount of line 20 Related to Energy Conservation			
	Measures			

Annual Statement Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Annual Statement Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

	Optional 5-Year Actio	n Plan Tables		
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of No Improvements	eeded Physical Improvements or N	Management	Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated o	ost over next 5 years			

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Developme Identificat		Activity Description						
-	·							

HOUSING AUTHORITY OF THE CITY OF DOTHAN, ALABAMA

ADMISSIONS POLICY FOR DECONCENTRATION

A. PUBLIC HOUSING (excerpted from Admissions and Continued Occupancy Policy)

- 1. Objective: The objective of the Deconcentration Rule for public housing units is to ensure that families are housed in a manner that will prevent a concentration of poverty families and/or a concentration of higher income families in any one development. The specific objective of the housing authority is to house no less than 40% of its public housing inventory with families that have income at or below 30% of the area median income by public housing development. Also the housing authority will take actions to insure that no individual development has a concentration of higher income families in one or more of the developments. To insure that the housing authority does not concentrate families with higher income levels, it is the goal of the housing authority not to house more than 60% of its units in any one development with families whose income exceeds 30% of the area median income. The housing authority will track the status of family income, by development, on a monthly basis by utilizing income report generated by the housing authority's computer system.
- 2. <u>Actions</u>: To accomplish the deconcentration goals, the housing authority will take the following actions:
 - a. At the beginning of each housing authority fiscal year, the housing authority will establish a goal for housing 40% of its new admissions with families whose incomes are at or below the area median income. The annual goal will be calculated by taking 40% of the total number of move-ins from the previous housing authority fiscal year.
 - b. To accomplish the goals of:
 - (1) Housing not less than 40% of its public housing inventory on an annual basis with families that have incomes at or below 30% of area median income, and
 - (2) Not housing families with incomes that exceed 30% of the area median income in developments that have 60% or more of the total household living in the development with incomes that exceed 30% of the area median income, the housing authority's Tenant Selection and Assignment Plan, which is a part of this policy, provides for skipping families on the waiting list to accomplish these goals.

B. SECTION 8 (excerpted from the Section 8 Administrative Plan)

1. The objective of the deconcentration rule for Section 8 tenant-based assistance is to admit no less than 75% of its new admissions to the program to families that have income at or below 30% of the area median income. The housing authority will track the status of all new admissions monthly by utilizing income reports generated by the housing authority's computer system. The goal will be tracked monthly and if the housing authority is not reaching its goal, families will be skipped on the waiting list to admit a family that has income that is at or below 30% of area median income. The practice will continue until the housing authority achieves its goal. The housing authority's Section 8 applicant selection process, which is contined in the Section 8 Administrative Plan, provides for the skipping of families on the waiting list to accomplish this goal.

HOUSING AUTHORITY OF THE CITY OF DOTHAN

PHDEP PLAN

A. Description of Planned Activity and Role of Plan Partners

This PHDEP Plan has been developed in association with Housing Authority staff, Housing Authority residents, and the Dothan Police Department. The Plan consists of the assignment of eight (8) Police Officers above the baseline service provided by the Dothan Police Department in accordance with the existing Cooperation Agreement between the Housing Authority and the City of Dothan. This Plan is a continuation of a highly successful strategy to reduce and eliminate illegal drug, violent criminal activity and other criminal activity in and around public housing communities.

The eight (8) Police Officers are assigned full time to patrolling Housing Authority public housing properties. They do not respond to other incidents unless there is an emergency or an incident occurs in their presence. The coverage is 24 hours a day, 7 days a week. Officers assigned are designated as HUD Officers and are usually assigned for an extended period to allow them to form relationships with Housing Authority residents. There is a low turn over rate among the assigned Officers since it is a preferred assignment. These Officers are fully equipped and are assigned a take home vehicle. The HUD Officers are integrated into the Patrol Division as part of a Squad. There are 4 Squads within the Patrol Division who work 12 hour shifts with 4 days on duty followed by 4 days off duty. If a HUD Officer is not present for some reason another Patrol Officer covers their position. HUD positions have preference over other assignments in regard to resources such that these position are always covered.

One of the key components to the program is the Officers utilizing foot patrol to learn the various needs of each public housing community and to establish relationships with the residents. This will facilitate greater trust by residents and give residents an opportunity to communicate their concerns.

As part of the Plan the Dothan Police Department has committed to the following components: tracking of Calls for Service, tracking of Officer=s activity, tracking of Offenses, and Officers interaction with residents and related activities. The Police Department will provide a HUD coordinator who will provide this data monthly to the Housing Authority. The HUD coordinator will communicate with the HUD Officers daily and review the previous day=s activities. Formal meetings with the Housing Authority will be held no less than quarterly. The HUD coordinator will

informally meet and communicate with Housing Authority staff as needed. The HUD coordinator will review each HUD Officers daily activity utilizing Computer Aided Dispatch (CAD) to assure efficient and maximal utilization of the Officer=s time and activities.

The current configuration of Housing Authority public housing properties has the facilities and an approximate two block area surrounding the facilities designated by CAD as HUD areas.

HUD Officers will issue Citizen Survey Cards to all persons they come in contact with on HUD related calls for service. The Survey cards grade the Police Department=s activity and the perception of the actions taken by the Officer. The cards are prepaid mail postcards. Copes of the Survey Cards will be routed to the HUD coordinator for review. An annual satisfaction report will be submitted from data obtained from the Survey Cards and submitted to the Housing Authority.

B. Baseline Services to Public Housing Residents by Law Enforcement Agencies

The Dothan Police Department operates a 155 man department, excluding the HUD Officers, which equates to 2.4 sworn officers per one thousand population (65,000 presently estimated) as compared to 3.1 for the State of Alabama and 2.2 for the nation. Dothan=s public housing communities (6) has a total population of approximately 2,500 residents. Thus the public housing population constitutes 4.2% of the total population served by the Dothan Police Department. The Department reports indicate that 15% of all beat time, in addition to the detail, is spent at Housing Authority sites.

This and previous PHDEP applications point out that documentation exists that public housing communities have three (3) times the rate of calls for service as the balance of the police jurisdiction. This level of service is dictated by the combination of socioeconomic characteristics of public housing residents and the needs and problems they experience. Thus documentation exists that indicates that the Dothan Police Department, in addition to its routine sector patrols in public housing communities, was providing over three (3) times the amount of service that it provided the balance of the population (15% of patrol time for 4.2% of the population). In order to meet the demand required, the City of Dothan would have to triple its manpower in these sectors to maintain the level of service required for the balance of the community. This need is simply not justified from a police jurisdiction standpoint in light of other budgetary demands on a City-wide basis. Therefore, even though the City has always met its obligations under the long standing Cooperation Agreement, and actually exceeded its requirements based on

total population, additional required and warranted officers could not be provided, and still cannot be provided, to accomplish the level of police presence that has been needed to eliminate the extent of drug related problems.

Current and Supplemental Law En	forcement Services f	or Public Housing R	esidents
Police Services Provided to Public Housing Residents	Current Hours (Baseline)	Proposed Hours Above Baseline (PHDEP funds)	Difference
Patrol Services *	38,720	16,640**	+16,640
Criminal Investigations *	5,304	0	0
Specialized Units *	13,729	0	0
Traffic ****	N/A	N/A	N/A
Arrests & Processing ****	N/A	N/A	N/A
Court Time ****	N/A	N/A	N/A
Dispatch Support ***	8,424	0	0
Records Support ****	N/A	N/A	N/A
Hiring & Training *	1,248	0	0
Administrative Time *	4,056	0	0
Other	N/A	N/A	N/A
Total Time	71,480	16,640	+16,640

^{*} Based on 15% of time of departmental staff for 40 hours per week for 52 weeks as described in narrative above.

^{**} Based on eight (8) man Public Housing Detail.

^{***} Staff capacity x 15% x 40 hours per week.

^{****} Included in Patrol Services above.

C. Budget

A. Staffing		
Salary - \$21,190 per year X 8	\$ 169,520	
FICA - \$1,622 per year X 8	12,976	
Retirement - \$1,590 per year X 8	12,720	
Unemployment - \$43.00 per year X 8	344	
Medical Insurance - \$3,600 per year X 8	28,800	
Life Insurance - \$35.00 per year X 8	280	
Staffing Subtotal		\$ 224,640

In addition to the above, the City of Dothan furnishes uniforms, gun belts, handcuffs, and other accessories to each officer totaling \$1,340 per officer. Also each officer is furnished a vehicle which was purchased at a total cost of \$183,600 with yearly maintenance totaling approximately \$20,000 for 8 vehicles.

Funding for the staffing cost alone exceeds the amount of PHDEP funds available. The following is a breakdown of the sources of funds to be used:

PHDEP Funds	CGP Funds	City of Dothan	Total
\$ 147,400	\$ 27,600	\$ 49,640	\$ 224,640

D. <u>Timetable for Implementation</u>

October 2000 through December 2001.

This period dependent upon final receipt of 1999 PHDEP funding which has not been received as of final approval of this Plan.

E. <u>Measurable Goals</u>

Goal 1: This Plan has been developed to achieve a 5% reduction of all crimes occurring in or near the public housing communities of the Housing Authority. The data to be used as crime and activity baseline data is 1998 data provided by the Dothan Police Department. Particular attention will be paid to Part One Crimes. In this analysis, it is anticipated that some crimes such as trespassing could result in an increasing rate due to an effective HUD police patrol designed to rid

problems on Housing Authority properties.

Crime and activity data is reported to the Housing Authority on a monthly basis and can be easily used to determine effectiveness of the Plan.

public housing communities of non-residents who are causing

Goal 2: Increasing or maintaining resident perception of safety in public housing communities.

This goal will be measured by the Community survey required at the end of the fiscal year. The goal will be to maintain or increase resident safety responses with the majority of respondents indicating that they feel more safe or as safe as they did one year ago.